

# Chapter 1

## Introduction



1 **Abstract** Morphogenesis, the general topic of the Lecture Notes, has been applied  
2 to language and other cultural media (symbolic forms). An essential input came from  
3 René Thom, the French mathematician and Fields Medal winner. The chapter starts  
4 by considering the role of morphogenesis in the life sciences and the transition from  
5 biological to semiotic morphogenesis (semiogenesis). Further elaborations consider  
6 the impact of individuation, the specification of morphogenesis for human popula-  
7 tions and individuals, the role of traditions and reflection (ratiogenesis), and, even-  
8 tually, the relation between morphogenesis (in the sense of Thom 1972) and “semio-  
9 physics” (exposed in (Thom in *Esquisse d’une sémiophysique: physique aristotéli-  
10 enne et théorie des catastrophes*. Interéditions, Paris [English translation: *Semio-  
11 physics. A Sketch*. Addison-Wesley, Boston 1990], 1988 [19]). Parallel to Thom’s  
12 proposals, the interdisciplinary field of Synergetics, introduced by Herman Haken,  
13 has widened the consideration by the consideration of stochastic dynamics, the anal-  
14 ysis of cooperative effects between systems, and the complexities of self-organization  
15 in nature and culture.

### 16 1.1 Morphogenesis and the Science of Life

17 The most basic biology question concerns the transition from inert matter to life. In  
18 this transition, physical laws do not lose their relevance; on the contrary, they are  
19 necessary to explain the origin of life. Nevertheless, essential features change dramati-  
20 cally. Physical laws are reversible, and phase transitions are punctual. In contrast,  
21 the transitions and bifurcations in the domain of life are irreversible (until death),  
22 processes in the organism depend on the ecology of the system, negative entropy  
23 (information gain) is possible,<sup>1</sup> and different levels of organization (with specific  
24 laws) are eminent. Minor causes and chance effects may decide upon the further

---

<sup>1</sup> See the concept of anti-entropy that includes not only a gain of order but also its maintenance in Longo and Montévil ([10]: 19f and 254).



25 history of a group or even a species (Blount [3] calls this effect “contingency”),  
26 convergent evolutions lead to similarities between species, which are not the result  
27 of an identical genetic outfit or parallel causal lines. With the advent of theoret-  
28 ical biology, mathematical technics were inspired by their applications in physics,  
29 although the underlying mechanisms are different. These differences ask for a modi-  
30 fication of the formal tools applied in physics. Although, in the beginning, biological  
31 systems (unicellular organisms) may still be accessible to a physicochemical analysis,  
32 complex biological systems, specifically the building of life-forms and their differ-  
33 entiation, ask for an independent treatment that respects the differences mentioned  
34 above and considers holistic phenomena, e.g., the telic character of wholes and the  
35 back-propagation from the whole to its parts. This aspect had already been clear to  
36 Kant at the end of the eighteenth century (“organisms are wholes”). Philosophers of  
37 the nineteenth century (e.g., Hegel) made clear that the aspect of genesis, of devel-  
38 opment, is at the heart of biological reasoning. The morphogenetic phenomena are,  
39 therefore, crucial for biology. However, are they also vital to psychology, sociology,  
40 and semiotics?

41 In psychology and the cognitive sciences, we must consider a new transition,  
42 which at first sight seems to be inside biology. Living beings interact with the  
43 ecology and have their inner stability (metabolism). The brain, characteristic of  
44 higher animals, is part of the whole organism. Nevertheless, the brain’s functions in  
45 perception, communication, and interaction open a field of processes beyond purely  
46 biological morphogenesis. Are phenomena like thinking, (self)-consciousness, and  
47 symbolic behavior still accessible via the mechanisms found in the organization of  
48 organic life? Because of continuity in evolution, we should assume that on one side  
49 basic types of organization in cognitive, communicative, and semiotic systems are  
50 shared with the organization of primary biotic systems; on the other side, new features  
51 can emerge, establishing a kind of autonomy of symbolic systems. In the context of  
52 our topic, we must ask: Can we still describe morphogenesis and the stability and  
53 regulation of forms of behavior in the framework of theoretical biology? It seems  
54 plausible to conjecture a transition similar to the one considered when the physical  
55 frame changed into a biological one. As in the former case, some general features  
56 will remain relevant, but new phenomena, regularities, and laws should appear.

### 57 ***1.1.1 The Rise of Theoretical Biology and the Role*** 58 ***of Morphogenesis***

59 The theoretical treatment of morphogenesis, specifically on mathematical back-  
60 ground, goes back to D’Arcy Thompson (1860–1948), who in 1917 published his  
61 book *On Growth and Form*. Significant advances were due to Conrad Waddington  
62 (1905–1975) and his work in the thirties on “developmental epigenetics” (cf. his  
63 book: *Organizers & Genes*, 1940). René Thom (1923–2002) carried this thread on.  
64 He used mathematical results of differential topology (catastrophe theory) to map

65 the processes of biological morphogenesis and expanded this view to the human and  
 66 social sciences (cf. Thom [18]). In the following decades, this program was further  
 67 substantiated and diversified, mainly using the quickly evolving field of dynamic  
 68 systems theory (catastrophes, chaos, fractals, stochastic dynamics). Most of the  
 69 proponents of this endeavor came from mathematics (Thom and Zeeman), physics  
 70 (Haken), and chemistry (Prigogine). In the eighties, the concepts of autopoiesis  
 71 and self-organization advocated by the neuroscientist Humberto Maturana (\*1928)  
 72 attracted many biologists and psychologists.

73 Meanwhile, significant results and theoretical advances have been integrated  
 74 into the field of the corresponding natural sciences (from physics to the neuro-  
 75 sciences). However, applications to the human and social sciences are still contro-  
 76 versial and ask for a better empirical and theoretical foundation. If Johann Wolfgang  
 77 Goethe (1749–1832) considered an embracing discipline “Morphologie überhaupt”  
 78 (universal morphology) ranging from biology to the arts (literature, visual art, music),  
 79 this dream seems still to be far off. Intuitively, the creation of forms, their classifica-  
 80 tion, their historical evolution, and the artist’s creative act ask for concepts similar to  
 81 those found in the analysis of living beings. Beyond intuitive creativity, art concerns  
 82 primarily the emotion, the will, and the rationality of a human being in the creation  
 83 and appraisal of symbolic forms.

### 84 ***1.1.2 Darwin Revisited***

85 The first effect of Darwinism, responsible for its triumph after almost a century of  
 86 debates, was that its theory rendered God as an explanatory force of evolution super-  
 87 fluous. As such, it continued the trend established by Condillac, Rousseau, and Herder  
 88 in the eighteenth century and announced the triumph of physical science at the end  
 89 of the nineteenth century. Its second impulse was to introduce a space of possible  
 90 evolutions (variation in Darwin’s terms) out of which selection can choose. This  
 91 space became more concrete with modern genetics, the explanation of mutations,  
 92 and the deciphering of DNA. In addition, statistical models could model the inter-  
 93 action of mutation and selection. These advances transformed Darwin’s incomplete  
 94 explanation into Neo-Darwinism (the New Synthesis).

95 A further transformation of Darwinism occurred in the late seventies of the twen-  
 96 tieth century and led to new theories called EVO-DEVO (ecological evolutionary  
 97 developmental biology). In this context, it was possible to revitalize fundamental  
 98 insights of nineteenth-century biology, i.e., deep homologies that link biological  
 99 entities separated by hundreds of millions of years, for instance, fruit flies, mice,  
 100 and humans. The key to these analogies is ancient genes that have been conserved  
 101 for millions of years and can control the homology of basic morphological “plans”  
 102 despite huge morphological differences. Homeotic genes, i.e., genes that control the  
 103 identity and correct order of bodily segments, constitute the “homeobox”, i.e., the  
 104 set of gene segments shared by the homeotic genes (cf. Schering 2001: Chap. 3).  
 105 Many of the homologies mentioned by René Thom in 1972 between patterns in

106 plants and animals on the one side and humans and human behavior on the other can  
 107 be related to such ancient genetic programs (morphogens, instructed signals).<sup>2</sup> Our  
 108 discussion cannot go into the details of Evo–Devo-theories or experimental research  
 109 in biochemistry. Still, this specification of Darwinian theory demonstrates that the  
 110 morphological intuitions of René Thom and many predecessors (e.g., Goethe or St.  
 111 Hilaire) are worth further consideration and do not contradict modern evolutionary  
 112 biology.

113 In the case of symbolic forms, this means that although experimental research in  
 114 genetics cannot bridge the gap between unity and diversity in fruit flies and univer-  
 115 sality/diversity in language and other symbolic forms, it makes sense to consider  
 116 two different types of dynamics. First, the dynamics of self-organization start from  
 117 a homogeneous base situation and arrive at a highly organized end situation. Mathe-  
 118 matically, these dynamics refer to Turing’s models in the early fifties of the twentieth  
 119 century.<sup>3</sup> They may explain the rapid growth of diversity but cannot explain the  
 120 long-lasting stability of species and families of species and their identity. Second,  
 121 the existence of a developmental genetic toolkit that enables the conservation of  
 122 old patterns in the midst of growing diversity (cf. Hidalgo et al. [7]). It remains still  
 123 unclear what the nature of such a toolkit could be that controls the unity and diversity  
 124 of cultural universals versus the divergence of cultural forms throughout the world. In  
 125 Chap. 2, we shall argue that the organization of perceptual organs (in humans, partic-  
 126 ularly sight and hearing) constitutes a kind of “homeobox” for unfolding symbolic  
 127 forms. A further ancient base is the dynamics of motion and locomotion, as the main  
 128 Chaps. 3, 4, 5, and 6 will show.

129 Significant problems with evolutionary explanations remain. First, selection  
 130 concerns the phenotype (the individual animal, its survival, and the creation of  
 131 progeny); the transmission of the results to the genotype is less direct than presumed  
 132 (epigenetic and global physiological factors interfere).<sup>4</sup> Second, the survival and  
 133 expansion of new variants (based on unpredictable mutations) depend on many  
 134 ecological and social contexts. Third, some effects may be self-referential as new  
 135 variants change the survival conditions. This effect can occur *inside* the organism  
 136 if variants trigger structural reorganizations or *outside* if moving and very active  
 137 animals change their environment.<sup>5</sup> This effect became clear with humans and, most

<sup>2</sup> Mechanistic models of morphogenesis insist on the locality of all mechanisms and a reduction to physical and chemical action and reaction based on molecules. As our perspective is clearly “high-level”, we do not adopt such a strictly molecular and local strategy. The Nobel prize winner Christiane Nüsslein-Vollhard shows in her book, Nüsslein-Vollhard (2004: 82–101), that basic processes of segmentation and spatial organization encountered in very different classes of animals establish gradients whose interaction and conflict are constitutive for morphogenesis.

<sup>3</sup> “Alan Turing first formulated this theory in 1952 with a ‘reaction–diffusion’ model describing the interaction of an activator and long-range diffusing inhibitor. Most work has since assumed a molecular basis for self-organization.” Bailleul et al. ([2]: Sect. 1.3).

<sup>4</sup> Darwin still accepted a kind of Lamarckian mechanism which transforms individual cognitive and behavioral advances into features of a species.

<sup>5</sup> See also the notion of “ecomorph” introduced by Williams (1972): a “species with the same structural habitat/niche, not necessarily close phyletically, but similar in morphology and behavior.” (cited in Blount [3]).

138 dramatically, since the industrial revolution, which led to a new stage, the so-called  
 139 *Anthropocene*, a world profoundly changed by man (*Anthropos*). These shortcomings  
 140 of Darwinism have further consequences for evolutionary psychology, linguistics,  
 141 and the evolution of human culture because complicated self-organization and self-  
 142 referential effects occur in highly complex and large systems. Simple Darwinian  
 143 mechanisms are more transparent and more decisive at the level of molecular  
 144 evolution (cf. Eigen and Schuster [5]).

145 In the case of language evolution (cf. Wildgen 2004a), three relatively rapid and  
 146 vital changes occurred:

- 147 (a) The transition from ape-like behavior in australopithecines (3–4 million years  
 148 (=my) before present (=BP))<sup>6</sup> to *Homo ergaster/erectus* (ca. two my BP). It  
 149 doubled cranial capacity, introduced tool use, and led to larger social groups.
- 150 (b) The transition from descendants of *Homo ergaster* in Africa to *Homo sapiens*  
 151 finished ca. 300,000 years ago. After a bottleneck around 120,000 BP, this  
 152 species expanded in and out of Africa (since 100,000, mainly after 70,000 BP). It  
 153 seems plausible to explain these and further changes by applying morphogenetic  
 154 principles.<sup>7</sup> In the case of language, this process led from the (hypothetical)  
 155 protolanguage of *Homo erectus* to the human language capacity shared by all  
 156 humans.
- 157 (c) Sophisticated cultural innovations appeared with the Neolithic revolution  
 158 (beginning after the last ice age, i.e., approximately 10,000 BP), the first large  
 159 and highly organized civilizations in Egypt and Mesopotamia, and the emer-  
 160 gence of writing. However, it is not plausible that evolutionary processes affected  
 161 essential human capacities in this period because the Darwinian mutation and  
 162 selection mechanisms ask for more extensive time intervals due to mutation rates  
 163 and the necessary time for the distribution and dominance of selected variants.

164 The communicative and social capacities of the evolving species (cf. Sect. 1.1.3)  
 165 expand biological *morphogenesis* to *semiogenesis*. One must also consider *individ-*  
 166 *uation*, which operates in the transition from genotype, the genetic type, to pheno-  
 167 type, the individual body/mind. Individuation contributes to the constitution of social  
 168 systems like clans, regional associations of social groups, ethnical wholes, and large  
 169 societies. These dynamics are responsible for the vast diversity of human cultures  
 170 and symbolic forms. This process is called *ecological* and *social morphogenesis*;  
 171 it concerns the unfolding of behavioral and cognitive “forms” (Greek *morphḗ*) in  
 172 individuals and societies, their selection, and stabilization in an ecological context.

---

<sup>6</sup> BP = before present. “present” refers to a conference in 1955 where this category was created. In the case of historical time, the classical labels BC = before Christ, and AC = after Christ are used. Roughly, BC = BP – 2000.

<sup>7</sup> The last surviving non-human species was the late Neanderthal man in Europe (extinct between 37,000 and 30,000 BP), i.e., before the maximum of the last ice age (25,000 to 20,000 BP).

### 173 **1.1.3 From Biological Morphogenesis to Semiogenesis**

174 In epigenetic processes and embryology, a structural framing occurs, which limits  
 175 new genetic variants and the bodily expression of genes, i.e., it shapes the space of  
 176 possible forms. In the social, cultural, and ecological domain, other morphogenetic  
 177 processes occur, called *semiogenetic*, insofar as the perception and mental reaction  
 178 to the environment are a requisite of form-giving and form-transmission (imitation  
 179 and learning). Cultural transmission replaces or goes parallel to genetic transfer.  
 180 Semiogenesis redefines the relevant environment, changes the selective forces, and  
 181 thus indirectly influences the genetic outfit and its epigenetic expression. Two highly  
 182 relevant transitions after hominization had a major impact on the further development  
 183 of human symbolic media and languages:

- 184 (a) The culture of painted caves in the late Paleolithic is documented between 37,000  
 185 and 16,000 BP. A rich corpus of paintings, drawings, sculptures, and abstract  
 186 (quasi-writing) symbols illustrate this period, which extended over Central and  
 187 Eastern Europe under the conditions of the last ice age (recently, cave paintings  
 188 were discovered in Borneo).
- 189 (b) The new technologies of farming and cattle breeding led to many cultural inno-  
 190 vations, e.g., writing and urbanization. It produced the first large-scale societies  
 191 in Egypt, Mesopotamia, and the Indus valley (beginning around 5,000 BP =  
 192 3,000 BC).

193 A recent transition to a so-called “morphogenic society” in late modernity is  
 194 advocated by Archer [1]. Earlier societies were relatively static, as negative feedback  
 195 to social changes dominated and evinced rapid changes. In morphogenic societies,  
 196 changes receive positive feedback. At first, innovations in social relations are realized  
 197 in sub-cultures and social niches. After this experimental stage, some are rapidly  
 198 generalized to the whole society or even globally. In a morphogenic society, symbolic  
 199 media will also change rapidly and either lose their relevance and the number of  
 200 adherents or be diffused exponentially. At the same time, the rhythm of changes  
 201 increases, followed by a quick change of values. In encounters with people from  
 202 other groups or newly built networks, the values and norms become unpredictable.

## 203 **1.2 The Impact of Individuation**

204 The processes of survival and selection operate on the level of the individual. This  
 205 is clearest in Darwin’s favorite example: sexual selection (cf. Darwin 1874). Sexual  
 206 partners either reject or accept one another, and the transfer of genes is blocked or  
 207 enabled. This scenario presupposes *individuation* and a *context* (time, place, and the  
 208 presence of other agents like rivals).

209 An individual is defined by the natural limits of life (i.e., birth and death) and the  
 210 forces that sustain his/her life. If life is endangered, appropriate mechanisms exist

211 to recover, repair damages, and avoid death. In this perspective, individuation has  
212 an implicit finality: survival under danger and risk. A tribe or family group may  
213 disappear if its reproduction rate is too low. Thus, in small and separated groups, a  
214 scenario characteristic of Neanderthals, the loss of some younger females may lead  
215 the group to extinction; if this often occurs and becomes a trend, the species may be  
216 extinct after several generations (particularly, if the overall population is small; it is  
217 assumed that the population of Neanderthals did not exceed 10,000 individuals).

218 By these dynamics, individuation can drive the evolutionary process, i.e., avoid  
219 or allow the extinction of a group or, ultimately, a species. Suppose individuals and  
220 groups of one species or subspecies share the ecology with others. In that case, their  
221 success may enhance the extinction of the other species if the mixture is genetically or  
222 behaviorally excluded or rare (dangerous).<sup>8</sup> Individuation is also the bridge for either  
223 biological or cultural innovation. For biological innovation, the individual that shows  
224 new features due to some biological change must first survive in the environment of  
225 individuals without this change and then produce progeny. These conditions decide  
226 whether the new capacities of the individual survive and finally change the character-  
227 istics of a group or even a species. In the case of cultural innovation, other individuals  
228 must perceive it as positive: imitate/learn it to become part of the cultural heritage.  
229 In each case, the change must be perceived and evaluated to trigger a new behavior,  
230 i.e., the effect relies on semiotic (sign-related) factors. Symbolic behavior evolution  
231 is enhanced by individuation processes and by the propagation and socialization of  
232 semiotic innovations.

[AQ1]

### 233 1.3 Tradigenetic and Ratiogenetic Processes

234 One can distinguish tradigenetic and ratiogenetic processes (cf. Tembrock [16]). In  
235 the first case, sociocultural values, standards, and techniques are transmitted and  
236 conserved within a social group (e.g., a family, tribe, or a geographically connected  
237 set of tribes); the members of a group establish a tradition as a system of habits.  
238 Ratiogenetic processes enhance such events by distinguishing individuals or profes-  
239 sional groups for their directive function. These persons may be older adults, priests  
240 (shamans), elected chiefs, etc. They incorporate the group's heritage (in their life  
241 memory) and can plan and direct specific innovations or dramatic changes that deeply  
242 influence a population's fate. Thus, the figure of Moses, who led the people of Israel  
243 out of Egypt, the prophets and founders of religion, or significant statesmen (see  
244 Alexander, Augustus in antiquity) and their helpers (a small subpart of the society)  
245 can rationally move a given society into a specific direction. Since the industrial

---

<sup>8</sup> Thus, Neanderthal individuals seem to have mixed with individuals of the early Homo sapiens when they met around 100,000 BP. However, a mixture in Europe after 40,000 BP, when Homo sapiens migrated massively into Europe, was rare. It did not prevent the extinction of the Neanderthals (possibly their offspring had health problems and rarely survived).

246 revolution (England 1760–1840), the French revolution (1799), and socialism (nine-  
 247 teenth century), technological, scientific, and political innovations have influenced  
 248 the development of humanity.<sup>9</sup> Insofar as ratiogenetic processes refer to individual  
 249 minds, i.e., the imagination and planning of individuals, they are part of individu-  
 250 ation. In the transmission process, the traces of this origin may disappear because  
 251 many people have contributed to its elaboration, transmission, and the establishment  
 252 of new standards.

## 253 1.4 Morphogenesis and Mathematics for the Human 254 Sciences

255 Christopher Zeeman and his disciples (mainly Ian Stewart and Tim Poston) issued a  
 256 large number of applications ranging from models of heart rhythm, the behavior of  
 257 dogs (flight/attack), and the dynamics of the human brain to social behavior (cf.  
 258 Zeeman [23] and Poston and Stewart [15]). Consequently, qualitative dynamics  
 259 (already sketched by Poincaré, 1874–1912) became an object of general concern.  
 260 American and Russian mathematicians (Mather, Milnor, Smale, and Arnold) have  
 261 further advanced the theory of singularities. Still, Thom’s primary aim was to unfold  
 262 further the morphogenetic intuitions he had described in his book *Stabilité struc-*  
 263 *turelle et morphogénèse* (1972). Thom’s and Zeeman’s proposals have shown that the  
 264 mathematical results in singularity theory and dynamic systems theory not only have  
 265 deep historical sources in mathematics but are very promising for future research.  
 266 Thom took up Aristotle’s idea of “genus” (type) and introduced the terms “prég-  
 267 nance” and “saillance” which make up the heart of his “Semiophysics” (cf. Petitot  
 268 [13], Thom [19], and Wildgen 2010b). Similar developments were at the heart of  
 269 Hermann Haken’s “Synergetics” and the models of the neuroscientist Scott [8],  
 270 *Dynamic patterns: the self-organization of brain and behavior*). The shared strategy  
 271 of these groups may be called “From matter to mind”, i.e., the theories of the human  
 272 mind are systematically founded on biological and physical systems theory and focus  
 273 on the continuity between the natural and the human sciences.

274 René Thom, the famous mathematician, was indirectly attracted by semiotics and  
 275 linguistics. At a point in his career as a mathematician,<sup>3</sup> appointed to the Institute  
 276 of Advanced Research in the Sciences (Institut des Hautes Études Scientifiques) in  
 277 Paris, he began to reflect on the role of topology and topological dynamics in the  
 278 fields of biology and the human sciences. In the sixties, Thom exchanged letters  
 279 with C. H. Waddington, who wrote a preface to Thom’s book *Stabilité structurelle*  
 280 *et Morphogénèse* (1972). In the foreword to its translation into English (1975),  
 281 Waddington refers to his book *Organizers and Genes* (1940), where he formulated

<sup>9</sup> They even impacted the earth’s climatic and geological state (cf. the exploitation of resources deep underground and in the oceans and changes in the chemical structure of the atmosphere and climate).



282 some fundamental questions of theoretical biology, to which Thom found a math-  
 283 ematical answer. One problem with biology is that it cannot be explicitly founded  
 284 on the laws of physics or chemistry. Such a foundation would ask for systems with  
 285 millions or billions of factors. A topological treatment allows us to formulate the  
 286 general contours of an explanation. In a later phase (prepared by articles after 1978),  
 287 Thom wrote his book *Esquisse d'une sémiophysique* (Sketch of a Semiophysics,  
 288 published in 1988 and translated to English in 1989). Thom tried to link the forces of  
 289 the morphogenesis of meaning (semiogenesis) to primary magnitudes known from  
 290 physics, i.e., gravitation and radiation (light). These universal fields embed the living  
 291 beings and govern their environment (ecology). They are naturally the background  
 292 of all perceptual and motor processes. In perception, light is at the basis of our  
 293 visual perception; gravitation underlies human and animal motor processes and the  
 294 sensation of pressure and weight; the ear registers sound waves, and the diffusion of  
 295 chemical substances evokes reactions of our taste and smell organs.<sup>10</sup>

296 As the dynamics of such fields (e.g., light) have been the topics of physics since  
 297 Newton and wave dynamics the subject of specific mathematical treatments since  
 298 Maxwell, it was apparent for Thom to postulate a particular field registered and  
 299 filtered by our sensory organs. He called it “saillance”. The psychophysical field  
 300 selects these effects as the most informative. Thom’s program was to extract as  
 301 much systematic content as possible from the analogy between physical and percep-  
 302 tual fields. Perception is the primary stratum of semiosis, and any perceptually based  
 303 symbolic structure elaborates it. Although this strategy from physics to semiotics  
 304 allows for the transfer of many mathematical techniques, there remains a large gap  
 305 between psychophysics (the level of perception) and linguistics (or cultural semi-  
 306 otics). The term “prégnance” had to fill this gap and explain the transition from  
 307 perceptual reactions to symbolic forms (culture).<sup>11</sup>

308 The dichotomy introduced by Thom has a precursor in Aristotelian philosophy.  
 309 The corresponding notions for this duality are dynamis (δύναμις) or energeia  
 310 (ἐνέργεια) versus morphe (μορφή) and typos (τύπος). Moreover, Thom refers  
 311 explicitly to several modern scientific currents which contain a similar dichotomy:

- 312 1. In Pavlov’s experiments, dogs salivate if presented with meat and “learn” the  
 313 conditioned reflex of an associated bell (which evokes similar reactions). Here,  
 314 the concept is used implicitly; the meat and the bell are “salient”. The meat is  
 315 “pregnant” by the biological constitution of the dog, whose body shows a set of  
 316 automatic reactions, which are thus the content of the presented sign “meat”. The

---

<sup>10</sup> After World War III, digital computers began to dominate the field of models in the life sciences and supplanted analog models. This trend is currently reversed as the digital models approach complexity limits. Analog models that use the resources of natural resources in physics, chemistry, and biology come to the fore. Cf. Dillavou [4].

<sup>11</sup> In linguistics, many responses to Thom’s proposals were either simple repetitions or purely meta-theoretical. However, Thom’s ideas were rather meant as stimulation for further research (comparable to his conjecture of a classification of unfolding dynamical systems that led to the mathematically elaborated classification theorem of singularity theory). After a phase of vague acceptance, theoretical linguists returned to the fleshpots of structuralism and its phenomenological epistemology. Cf. the comments on catastrophe theory in Piotrowski and Visetti ([14]: 25f).

317 associated sign “bell ringing” inherits the meaning of the sign “meat”, and clas-  
 318 sical stimulus–response theory postulates that all meanings follow by stimulus  
 319 conditioning from basic reflexes (elaborated by “operant conditioning,” which  
 320 starts from chance reactions, reinforced by success).

- 321 2. Konrad Lorenz observed the process called “Prägung” (imprinting) in birds (e.g.,  
 322 geese). During a short period after they leave the egg, many birds select rather  
 323 unspecific stimuli in their environment and quickly elaborate on them to form  
 324 basic concepts like that of a “mother bird”. Thus, if Lorenz quickly uttered  
 325 sounds and the freshly hatched goose registered his presence, he filled the slot  
 326 of a “mother goose”. As soon as this concept was established, he remained the  
 327 prototype of a “mother goose” for them. Lorenz generalized this observation  
 328 and postulated so-called “super releasers”, i.e., very primitive schemata which  
 329 only ask for minimal perceptual and neural control. They may even fit better into  
 330 abstract molds than biologically real entities. In the process of “Prägung”, a rich  
 331 semantic system is developed which could not have been coded genetically.
- 332 3. Another biologist, Jakob von Uexküll, proposed a similar concept of “Bedeutungswelt” (meaningful universe). His theoretical biology influenced Cassirer’s philosophy of symbolic forms when they were both lecturing in Hamburg. For Uexküll, every animal creates its own “Bedeutungswelt”, which depends first on its windows of perception and then on its vital needs. Thus, a tick reacts perceptually to the concentration of butyric acid, typical of warm-blooded animals. The tick drops if this stimulus is received and eventually enters the animal’s fur. This minimal semiotic system guarantees survival as the tick feeds on the animal’s blood.<sup>9</sup>
- 341 4. In psychology, Gibson elaborated the concepts of “prägnante Gestalt” and “Valenz” of his teacher Koffka and called it *affordance*. Any object or process in our environment may have affordances; thus, a chair allows for sitting, a bed for sleeping, etc. Therefore, the whole ecology is meaningful regarding possible actions or events it will enable. As some activities are more frequent or have more value for survival than others, the concept of affordance allows for grades. Maximal affordance would then correspond to “Prägnanz”.
- 348 5. Cassirer generalized the perceptual/motoric “Prägnanz” to “symbolische Prägnanz” (symbolic pregnancy) as perception is the first level of semiosis (=symbol creation) in his system. One of the criteria of good gestalt was meaningfulness in Gestalt psychology. For Cassirer, symbolic content is the precondition of accurate perception as elements of perceptual input have to be integrated into a system of perceptually based concepts created by the mind. The signs associated with these concepts allow the steady stream of consciousness to halt as soon as something stable catches our attention.
- 356 6. The American psychologist David Hebb, whose work has become fundamental for modern neuropsychology (cf. Hebb-assemblies; i.e., networks of neurons with a similar function), discusses different types of instincts and two models. The first model, called monogenetic, assumes one primary force field of biological motivation, which then separates into various subfields like hunger, thirst, sexual

361 desire, aggression, fear, intellectual curiosity, etc. The second assumes a topology  
 362 of motivations with different centers (attractors); cf. Hebb ([6], pp. 246–247).

363 7. William Stern proposed applying the concept of “Prägung” (imprinting) to  
 364 language acquisition. He recognized a goal-oriented, internally controlled  
 365 process in language acquisition. Thomae linked this idea with the more general  
 366 discussion in ethnology and psychoanalysis (Thomae [17], p. 244). Freud’s  
 367 theory of psycho-genital development assumes far-reaching consequences of  
 368 fundamental processes in early childhood, which fix specific personality traits  
 369 for a person’s whole life. Thomae (ibid.) explains this effect via a kind of  
 370 “canalization”, which directs all further developments.

371 Summarizing this discussion which has occupied biologists and psychologists  
 372 for more than one century,<sup>12</sup> one can assume on the one side that all animals have  
 373 specific windows to their ecology and on the other side that a small set of motivations  
 374 or vital force fields exist. However, the list of relevant basic types remains an open  
 375 question. René Thom presupposes the existence of such an essential and elementary  
 376 set, which shares the feature of structural stability and is independent of specific  
 377 material contexts. This basic set is subdivided into “salience” and “pregnancy”.

378 To these rather general ideas already found in the discourse of the scientific  
 379 community, Thom adds two new concepts:

- 380 • The salience effect in perception may be linked via psychophysical laws to the  
 381 dynamics of objective fields in physics and chemistry; i.e., perception refers in  
 382 its principles to laws in natural science. In Thom’s terms, there is an explanatory  
 383 continuum between objective salience in physics and chemistry and subjective  
 384 salience in cognition and semiosis.
- 385 • The topology of salient objects and events governs the flow of pregnancies via a  
 386 process called “diffusion de prégnance” (channeling of pregnancy). As in Lorenz’s  
 387 case of “Prägung” (imprinting), the attractor landscape of pregnancy fills with  
 388 actual or memorized salience effects. In this channeling, the multiple forms of  
 389 (perceptual) salience are elaborated into rich and context-dependent fields of  
 390 categorical perception and behavior.

391 The self-organization of the differentiated pregnancy effects creates a system  
 392 of meanings and, thus, the prerequisite for a lexicon of perceptual entities. The  
 393 crucial step concerns the stability and complexity of repeated transfers in channeling  
 394 pregnancy. The symbolic form is detached from the salient objects it designates,  
 395 i.e., the reference to the sign object becomes independent from the time and place  
 396 of the perception of the corresponding entity. This effect has two consequences:  
 397 What triggers appetite or avoidance, for example, can be broken down into many  
 398 aspects, in which the types of usefulness or survival relevance differ. For instance, the  
 399 community can categorize flora and fauna according to edibility, medicinal effects,  
 400 and instrumental use. The rich encyclopedias for flora and fauna in many collecting  
 401 and hunting societies show the outcome of this multiple division of what is vital for an

<sup>12</sup> Cf. For further details of this discussion the chapters in Wildgen and Plümacher (2009; in German) and Wildgen and Brandt (2010; in English).

402 ethnic group. Applied to visual art, the image culture of a community is particularly  
 403 striking for the artists and their clients in this society and directs their particular  
 404 attention.

405 Thom's hypotheses apply mathematical techniques and theorems to solve funda-  
 406 mental problems. Nevertheless, the psychophysical transition calls for applying the  
 407 laws of physical dynamics, not only the mathematics used in this field. The authors  
 408 Turvey, Kugler, Kelso, and others (cf. Kelso [8]) have elaborated on this thought. For  
 409 instance, the diffusion of pregnancy may correspond to fluid dynamics and models  
 410 of growth in space and time.

## 411 References

- 412 1. Archer, M.S. (ed): *Late Modernity: Trajectories Towards Morphogenic Society* [Social  
 413 morphogenesis, volume II]. Cham, Springer (2014)
- 414 2. Bailleul, R., Manceau, M., Touboul, J.: A “numerical evo-devo” synthesis for the identification  
 415 of pattern-forming factors. *Cells* **9**(8) (2020). Download PMC7463486
- 416 3. Blount, Z.D.: A case study in evolutionary contingency. *Stud. Hist. Philos. Biol. Biomed. Sci.*  
 417 **58**, 82–92 (2016)
- 418 4. Dillavou, S. et al.: *Demonstration of Decentralized, Physics-driven Learning*. ArXiv:  
 419 2108.00275 (2022)
- 420 5. Eigen, M., Schuster, P.: *The Hypercycle: A Principle of Natural Self-organization*. Springer,  
 421 Berlin (1979)
- 422 6. Hebb, D.O.: *A Textbook of Psychology* (new edition 1966). Saunders, Philadelphia  
 423 (1959/1966).
- 424 7. Hidalgo, M., Curantz, C., Manceau, M.: A conserved paint box underlies color pattern  
 425 diversity in Estrildid finches. *Biology*, 20 February 2021. [https://www.semanticscholar.org/  
 426 paper/A-conserved-paint-box-underlies-color-pattern-in-Hidalgo-Curantz/51726ba6a25ccef  
 427 20a9d14d57e042bb253f936c5](https://www.semanticscholar.org/paper/A-conserved-paint-box-underlies-color-pattern-in-Hidalgo-Curantz/51726ba6a25ccef20a9d14d57e042bb253f936c5) (2021)
- 428 8. Kelso, J.A.S.: *Dynamic patterns*. In: *The Self-organization of Brain and Behavior* MIT Press,  
 429 Cambridge (Mass) (1995)
- 430 9. Kosslyn, S.M.: *Image and Mind*. Harvard U.P, Cambridge MA (1980)
- 431 10. Longo, G., Montévil, M.: *Perspectives on Organisms. Biological Time, Symmetries and  
 432 Singularities* (series: *Lecture Notes in Morphogenesis*). Springer, Heidelberg (2014)
- 433 11. Maturana, H., Varela, F.J.: *Autopoiesis and Cognition: The Realization of the Living*. D. Reidel  
 434 Publishing Company, Dordrecht (1980)
- 435 12. Nüsslein-Vollard, Ch.: *Das Werden des Lebens. Wie Gene die Entwicklung steuern*. Beck,  
 436 München
- 437 13. Petitot, J.: *Physique du sens. De la théorie des singularités aux structures sémio-narratives*.  
 438 Éditions du CNRS, Paris (1992)
- 439 14. Piotrowski, D., Visetti, Y.M.: The game of complexity and linguistic theorization. In: La Mantia,  
 440 F., Licata, I., Percoti, P. (eds.) *Language in Complexity. The Emerging Meaning*. Springer  
 441 (2017)
- 442 15. Poston, T., Stewart, I.: *Catastrophe Theory and its Applications*. Pitman, Boston (1978)
- 443 16. Tembrock, G.: Ökosemiose. In: Posner, R., Robering, K., Sebeok, T.A. (eds.) *A Handbook on  
 444 the Sign-theoretical Foundation of Nature and Culture*, pp. 571–591. de Gruyter, Berlin (2004)
- 445 17. Thomae, H.: *Entwicklung und Prägung*. In: Thomae, H. (ed.) *Entwicklungspsychologie*.  
 446 *Handbuch der Psychologie* in 12 Bd, pp. 240–311. Hogrefe, Göttingen (1972)
- 447 18. Thom, R.: *Stabilité structurelle et morphogénèse, Interéditions, Paris* (English translation:  
 448 *Structural stability and morphogenesis*. Benjamin, Reading, 1975) (1972)

- 449 19. Thom, R.: *Esquisse d'une sémiophysique: physique aristotélicienne et théorie des catastrophes.*  
450 *Interéditions, Paris [English translation: Semiophysics. A Sketch. Addison-Wesley, Boston*  
451 *1990] (1988)*
- 452 20. Waddington, C.H.: *Organisers and Genes.* Cambridge University Press, Cambridge (1940)
- 453 21. Waddington, C.H.: *The character of biological form.* In: Whyte, L.L. (ed.) *Aspects of Form:*  
454 *A Symposium on Form in Nature and Art (1968)*
- 455 22. Wildgen, W.: *Thom's theory of "sailance" and "prégnance" and modern evolutionary linguistics.*  
456 *In: Wildgen, W., Brandt P.Aa. (eds.) Semiosis and Catastrophes. René Thom's Semiotic*  
457 *Heritage, pp. 79–100. Lang, Bern (2010)*
- 458 23. Zeeman, Ch.: *Catastrophe Theory. Selected Papers 1972–1977.* Addison-Wesley, Cambridge  
459 (Mass) (1977)

# Author Queries

## Chapter 1

Query Refs.	Details Required	Author's response
AQ1	References Darwin (1874), Schering (2001), Williams (1972), Wildgen (2004a, 2010b), Wildgen and Plümacher (2009), Wildgen and Brandt (2010) and Thom (1972) are cited in the text but not provided in the reference list. Please provide the respective references in the list or delete these citations.	
AQ2	In this paragraph, 3 is given in the superscript, but the respective content is missing. Please check and confirm.	
AQ3	In this 3 list, 9 is given in superscript, but the respective content is missing. Please check and confirm.	
AQ4	The tables and figures that the author has provided are ordered in this manuscript. In terms of chapter content, we adhered to our springer standard. .	
AQ5	References [9, 11, 12, 20–22] are given in the list but not cited in the text. Please cite them in text from the list.	