

Kerstin Martens

## Transformations of the State

Date: Tuesday 10am-1pm  
Module: B2  
Seminar Number: VAK 08-351MB2-1  
First Class: March 10, 2020  
Last Class: May 26, 2020

Prof. Dr. Kerstin Martens  
Office: Institut für Interkulturelle und Internationale Studien (InIIS), UNICOM, Mary-Somerville-Straße 7, Haus „Wien“, 28359 Bremen  
Phone: (0421) 218-67498 (you can leave a message)  
Email: martensk@uni-bremen.de  
Office Hours: by appointment

### Course Description

The state is widely considered to be the most important entity in international governance and, at the same time, a key concept in the social sciences. In recent years it has been argued that profound transformations are taking place which challenge the very foundations of the externally and internally sovereign modern state. The main tendencies of these transformations are the emergence of increasingly autonomous public and private institutions at the international level and the emergence of semiautonomous functional subsystems and powerful private actors at the domestic level. International governance thus takes place in a complex multi-level system with a variety of public and private actors. This course analyses these developments and their consequences for modern governance, both theoretically and empirically, by looking at concrete examples in greater detail.

### Course requirements

Preparation of classes and participation in online seminar discussions **plus reading summary of session #6** (3 CPs)

#### Short essay (1.5 CPs)

Response Paper (1.5 CPs) or term paper including a mini presentation (4.5 CPs )

### Assignments

#### IR-B2a (Large version)

- Short essay (c. 900 words), 25% of final grade
- Mini presentation of term paper (presentation, due last class meeting)
- Term paper (c. 3,500 words) 75% of final grade

#### IR-B2b (Small version)

- Short essay (c. 900 words), 25% of final grade
- Response paper (c. 1,300 words) 75% of final grade

### Deadline for papers (response paper or term paper)

#### Short essay is due May 17

Response Paper and Term paper are due August 31, 2020

Session 1 10 March	<p><b>Introduction: How Do I Recognize a State When I See One?</b></p> <p>Pierson, Christopher (2011), <i>The Modern State</i>. London and New York: Routledge, third edition, pp. 4-27.</p>
Session 2 17 March	<p><b>History: The Rise of the Modern State</b></p> <p><i>One of the following texts (to be determined in class):</i></p> <ol style="list-style-type: none"> <li>1. Pierson, Christopher (2011), <i>The Modern State</i>. London and New York: Routledge, third edition, pp. 28–51.</li> <li>2. Manow, Philip &amp; Daniel Ziblatt (2015), The Layered State: Pathways and Patterns of Modern Nation State Building, in: Stephan Leibfried, Evelyne Huber, Matthew Lange, Jonah D. Levy &amp; John D. Stephens, eds., <i>The Oxford Handbook of Transformations of the State</i>, Oxford: Oxford University Press, pp. 75-98.</li> <li>3. Spruyt, Hendrik (1994), Institutional Selection in International Relations: State Anarchy as Order, <i>International Organization</i> 48:4, pp. 527–557.</li> <li>4. Tilly, Charles (1975) <i>The Formation of National States in Western Europe</i>, New Jersey: Princeton, ch. 1 [of this: pp. 17-46].</li> </ol>
Session 3 24 March	<p><b>Conceptual Approach: Transforming the Golden-Age Nation State &amp; Institutional Change</b></p> <p>Hurrelmann, Achim, Stephan Leibfried, Kerstin Martens &amp; Peter Mayer (2007), The Golden-Age Nation State and its Transformation: A Framework for Analysis, in: Hurrelmann, Achim, Stephan Leibfried, Kerstin Martens &amp; Peter Mayer, eds., <i>Transforming the Golden-Age Nation State</i>. Houndsmill: Palgrave Macmillan, pp. 1–23.</p>
Session 4 31 March	<p><b>The State in IR Theory</b></p> <p>Meyer, John W., John Boli, George M. Thomas, and Francisco O. Ramirez (1997), World Society and the Nation State, in: <i>American Journal of Sociology</i> 103, pp. 144-181.</p> <p>Lake, David A. (2008), The State and International Relations, in: Christian Reus-Smit &amp; Duncan Snidal, eds., <i>The Oxford Handbook of International Relations</i>. Oxford: Oxford University Press, pp. 41-61.</p>
Session 5 7 April <b>No meeting in class!</b>	<p><b>Reading week</b></p> <p>Daria Isachenko (2008) The Production of Recognized Space: Statebuilding Practices of Northern Cyprus and Transdnistria, <i>Journal of Intervention and Statebuilding</i> 2:3, 353-368, DOI: 10.1080/17502970802436353</p> <p>Sørensen, Georg (2009), ‘Big and Important Things’ in IR: Structural Realism and the Neglect of Changes in Statehood, <i>International Relations</i>, 23:2, pp. 223–239</p> <p><b>Choose one for a response paper!</b></p>
Session 6 14 April	<p><b>Statehood and its Limits</b></p> <p>Risse, Thomas (2015), Limited Statehood: A Critical Perspective, in: Stephan Leibfried, Evelyne Huber, Matthew Lange, Jonah D. Levy &amp; John D. Stephens, eds., <i>The Oxford Handbook of Transformations of the State</i>. Oxford: Oxford University Press, pp. 152-68.</p> <p><b>Reading summary (“Studienleistung”/ungraded task)</b></p>

Session 7  21 April <b>10 a.m.</b>	<p><b>State Transformations in <i>Post-Communist States</i></b></p> <p>Grzymala-Busse, Anna &amp; Pauline Jones Luong (2015), The Peculiarities of Post-Communist State Development: Institutional Consolidation and Elite Competition, in: Stephan Leibfried, Evelyne Huber, Matthew Lange, Jonah D. Levy &amp; John D. Stephens, eds., <i>The Oxford Handbook of Transformations of the State</i>. Oxford: Oxford University Press, pp. 587-601.</p> <p><i>We will have an online discussion with Ivan Bakalov (Alumnus of the MAIR)</i></p>
Session 8  28 April	<p><b>The Territorial State and Security</b></p> <p>Schröder, Ursula (2018), Security, in: Thomas Risse, Tanja A. Börzel, Anke Draude, eds., <i>The Oxford Handbook of Governance and Limited Statehood</i>, Oxford: Oxford University Press, pp. 375-393. DOI: 10.1093/oxfordhb/9780198797203.013.18.</p> <p>Krahmann, Elke (2017), From Performance to Performativity: The Legitimization of US Security Contracting and Its Consequences, <i>Security Dialogue</i>, 48(6), pp. 541-559</p>
Session 09  05 May	<p><b>The Rule of Law and Externalities</b></p> <p>Paul Collier: New rules for rebuilding a broken nation <a href="https://www.ted.com/talks/paul_collier_new_rules_for_rebuilding_a_broken_nation#t-300171">https://www.ted.com/talks/paul_collier_new_rules_for_rebuilding_a_broken_nation#t-300171</a></p>
Session 10  12 May	<p><b>The Democratic State and Legitimacy</b></p> <p>Buchanan, Allen, and Robert O. Keohane (2006), The Legitimacy of Global Governance Institutions, <i>Ethics &amp; International Affairs</i>, 20, pp. 405-437</p>
Session 11  whenever	<p><b>Movie session</b></p> <p><i>The Edge of Democracy</i></p>
Session 12  19 May <b>3 p.m.!</b>	<p><b>The Interventionist State and Education Policy</b></p> <p>Fulge, Timm, Tonia Bieber &amp; Kerstin Martens (2016), On the interplay between international and national policy-making in education policy: rational intentions, unintended consequences, and transformation capacities, in: Mundy, Karen, Andy Green, Robert Lingard &amp; Antoni Verger, eds., <i>Global Policy and Policy-Making in Education</i>, Hoboken: Wiley-Blackwell, pp. 453-469.</p> <p><i>Online session or asynchrony group work together with MA students in the Erasmus Mundus program GLOBED – Education Policies for Global Development</i></p>
Session 13  26 May <b>10. a.m.</b>	<p><b>Facets of State Transformations (mini presentations)</b></p> <p><i>Students present their term paper progress in an online session (perhaps in different smaller groups)</i></p>
Session 14  26 May <b>2 p.m.!</b>	<p><b>Conclusion &amp; Course Evaluation: The state is dead, long live the state?</b></p> <p><i>We have an online discussion with Anna Wolkenhauer &amp; Dennis Redeker (Alumni of the MAIR)</i></p>

## Seminar methods

- The didactic approaches in the seminar will vary in the sessions of this course. Thus, in interactive phases group work, pro-contra-discussions, a model game and simulations may be planned.
- The seminar will be **administered via stud.ip** where you will find the texts and from which you will receive emails. That is also where you will share your own documents with the other seminar participants.

## Expectations of students

- You should be curious about the seminar's topic, have interest in the political-scientific way of thinking and the will to develop your own abilities. You are expected to regularly read the seminar's texts, to participate verbally in the seminar and to cooperate with your fellow students as well as the instructors.

## Your design of the course

- You actively design the content of seminar by preparing the presentations and by participating in the interactive phases.

## How to reach me

- My office hours are by appointment and by email. I am at your service for discussing the concept of your presentation, your essay or response paper and other learning-related progress. Take advantage of this offer!
- Short questions may also be answered by email. **Please use this email address: [martensk@uni-bremen.de](mailto:martensk@uni-bremen.de).** You can address me by my first name ("Dear Kerstin") in your emails and in class, also when writing in German, but please use the "Sie".

## Requirements to obtain credit points

---

### Regular and active participation (everyone)

- Every participant is expected to read and think through the assigned texts in preparation for the session: You are invited to take notes of the main points made in the text, post online statements and to make sure you have identified the central arguments. In the course of the seminar you should also think about how the various texts we will have discussed relate to each other: where do the authors agree, where do they differ, and why (etc.)?
- **Reading summary of session 6 represents a "Studienleistung"/ungraded task.** An outline will be uploaded for that.

### Short essay (everyone)

- **The aim of the essay is to reflect about a selected question related to the course.**
- **You can choose between one of these essay questions:**
  - **Is 'the state' (still) an adequate conceptualization for today's world?**
  - **Which of the normative goods that the state provides is the most important and why?**
  - **How can privatization be a justifiable model for the state to render responsibilities?**

### **Response paper (small version of IR-B2b)**

- The *response paper* (1300 words, everything included) should critically discuss one of the two articles provided (your choice). Imagine you are a scholar who (dis)agrees with the line of argument made in the text. The content of the article should be summarised in some sentences. In the remaining parts, the arguments of the article should be tackled critically; alternative explanations or approaches may be provided.
- It is sufficient to send an electronic version of the response paper by email before or on the due date.

### **Mini-presentation of the individual term paper (large version of IR-B2b)**

- The second to last session is dedicated to presenting your progress with your term paper. Each student should prepare a 5-7 minute presentation of his or her term paper to be written, consisting of 4 slides:
  - Slide 1: Topic and relevance/link to the topic of the course
  - Slide 2: Research question/thesis of the individual paper
  - Slide 3: Line of arguments/structuring of the paper
  - Slide 4: Main outcome/possible conclusions in your paper

### **Individual term paper on a seminar topic (large version of IR-B2b)**

- The aim of the *term paper* is the reflection of a topic dealt with in this seminar. You may base your argumentation on the respective (seminar) literature and refer to the suitable passages which you use but you should also research additional literature. Papers are required to be based on at least 8 serious academic sources (monographs, journal articles, articles from edited volumes)
- The term paper should consist of:
  - A clearly framed research question and/or thesis/argument
  - A clear usage of the cited texts for supporting one's own argument
  - A critical reflection of the argument(s)
- The following formal criteria are to be followed:
  - Length should be 3500 words; Title page does not count; a Table of Contents is not necessary; References do not count! Please give indication of the word number on the front page of your essay
  - Please use the MAIR stylesheet: <https://www.uni-bremen.de/mair/guidelines/>