**Appendix 3c**

to the Programme Information of the Philipp Schwartz Initiative

**The Philipp Schwartz Initiative of the**

**Alexander von Humboldt Foundation**

**Application for a Philipp Schwartz fellowship**

**(10th Call)**

(Last updated: 16 June 2021)

|  |  |
| --- | --- |
| **Applicant institution:** |  |

Application for a Philipp Schwartz fellowship for below candidate in one of the following programme lines:

Programme line **Research Fellowship**  Programme line **Employment Contract**

|  |  |
| --- | --- |
| First name(s): |  |
| Family name(s): |  |

|  |  |  |
| --- | --- | --- |
| Gender (m/f/d): |  | |
| Date of birth: |  | |
| Place and country of birth: |  | |
| Nationality/nationalities: |  | |
| Academic title: |  | |
| Discipline (4 to 6 digit code, cf. [AvH catalogue](https://www.humboldt-foundation.de/fileadmin/Bewerben/Allgemein/fachgebietskatalog.pdf)): |  | |
| Discipline (in words): |  | |
| E-Mail address: |  | |
| Intended host institute: |  | |
| Academic mentor: |  | |
| Working title research project: |  | |
| Funding period: | (in months, max. 24 months) | |
| Start of funding period: | 1 January 2022 (general starting date, cf. Programme Information) |
|  |  | |
| Departure from home country: | (dd/mm/yyyy) | |

**Current residence:**

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| --- | --- |
| Affiliation: |  |
| Town/city, country: |  |
| Residence status: | (if current residence in the EU) |

**Most recent academic position outside Germany:**

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| --- | --- |
| Institution (name): |  |
| Town/city, country: |  |
| Duration: | (month/year - month/year) |

**Doctorate:**

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| --- | --- |
| Awarding institution (incl. town/city, country): |  |
| Date of doctoral degree: |  |

Application is made for the auxiliary funds for host institutions to the value of 20,000 EUR per each Philipp Schwartz fellow hosted, for expenditure related to awareness-raising concerning the issue of "threatened researchers" at its own institution, as well as for the development of appropriate supporting structures and individual measures targeted at further qualification of each fellow.

The nominated scholar fulfils the formal requirements for funding through the Philipp Schwartz Initiative as stipulated in the programme information, also with regard to the residence status.

In the run-up to the fellowship, the applicant institution agrees to take responsibility for making every effort to ensure that the legal requirements for residency during the fellowship have been fulfilled.

The applicant institution undertakes to provide support with administrative and practical issues related to the stay.

The information and documentation submitted by the candidate concerning certificates and degree credentials have been checked by the applicant institution to the best of its knowledge and belief. Original documents, certified copies or replacement documents with a high degree of credibility were submitted for inspection. The applicant shall not forward any original documents to the AvH.

The candidate has language skills which permit him/her to complete the planned research project.

The spouse of the nominated scholar is currently being supported through or has been nominated for a Philipp Schwartz fellowship in the current call:

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Spouse Nominating/host institution

The following documents are enclosed:

Research post confirmation and mentoring agreement

Assessment by the academic mentor

A brief description of the research proposal prepared by the candidate

The candidate's curriculum vitae in tabular form (max. 3 pages)

List of publications

Proof of threat (no older than 12 months) provided by:

* + SAR
  + CARA
  + BAMF

If not enclosed, under review by:

* + SAR (to be contacted by 13 August 2021)
  + CARA (to be contacted by 13 August 2021)
  + BAMF

Doctoral certificate or equivalent (in German/English or adequate translation)

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Place / date Signature of head of the host institution (president, rector, director of non-university research institution) or authorised representative

Information regarding the signatory:

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Title First name and surname

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|  |

Position and organisational unit

**The Philipp Schwartz Initiative of the**

**Alexander von Humboldt Foundation**

**Research post confirmation and mentoring agreement**

**by the academic mentor**

(Last updated: 16 June 2021)

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Name of candidate Name of academic mentor

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Name of host institute

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Address of host institute (street, postal code, town/city, country)

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|  |

Communication data of the host institute (telephone number, fax number, email address)

I hereby confirm that all facilities, devices and expendables required for the research project are available, and that I am authorised to allocate a research post to the candidate in question.

I hereby declare that I am unaware of any facts or specific aspects which may speak against the candidate's funding (e.g. possible conflicts with legally binding principles of scientific ethics, danger of arms-relevant technological transfers within the meaning of legal regulations, etc.).

I confirm that, in the event that funding is granted, the same security conditions will apply to the candidate as they do to other academics working at the institute. I shall remain at the candidate's disposal as his/her academic mentor, providing both academic supervision for the duration of the research stay, and also ensuring that the rules of good academic practice and the legally binding principles of scientific ethics are complied with within the context of my mentoring duties.

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Place / date Signature

**The Philipp Schwartz Initiative of the**

**Alexander von Humboldt Foundation**

**List of questions for the statement**

**by the academic mentor**

(Last updated: 16 June 2021)

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Name of candidate Name of mentor

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Name of host institute

Please answer the following questions in a separate document and in the prescribed sequence in the form of a statement approximately **1-2 pages** in length, and attach this to the signed research post confirmation.

1. **Do you know the candidate personally, or are you in contact with their current institute?**
2. **How would you assess the candidate's academic career and academic achievements to date (such as publications), and their academic potential – taking account of the respective circumstances in which the candidate has been working thus far?**
3. **How would you assess the current academic profile and the academic potential of the candidate?**
4. **How would you assess the proposed research project of the candidate (especially in regard to academic (methodological) quality, feasibility at the host institution) and the candidate’s perspectives for further academic qualification and success during the fellowship period?**
5. **To what extent were you involved in drawing up the research outline the applicant has submitted? Will it overlap/interact with other work being carried out at your institute?**
6. **Which specific measures are you planning in order to support the candidate in navigating academic and professional challenges and in preparing for opportunities after the funding ends?**
7. **Which perspectives do you see in terms of the candidate’s potential integration into the (research-related) job market – in Germany, in another country, or in their country of origin?**
8. **Please confirm that the candidate’s command of the English or the German language will suffice to successfully complete the proposed research project and to develop the candidate’s qualifications.**
9. **Please confirm that no other funds are available to support the candidate’s research stay.**

**The Philipp Schwartz Initiative of the**

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**Brief description of the research proposal**

(Last updated: 16 June 2021)

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Name of candidate Name of academic mentor

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Name of host institute

1. The research project must be developed **independently by the nominated researcher** and should be described in a separate document on no more than approximately 3 pages in total, including references. It should be in German **or** English. Please observe the following advice:

* The current state of research should first be briefly described.
* The outline should focus on a clear description of the questions the nominated researcher intends to address in their research, their originality and significance for the advancement of the research field and the researcher’s own profile.
* Furthermore, the academic methods to be used to achieve these goals should be clearly described and referenced, if appropriate.
* It should be clearly demonstrated that the nominated researcher has drawn up the main contents independently themself and agreed them beforehand with the academic mentor. Any contents contributed by the host institute must be attributed accordingly.

1. In addition, the topic and the aim of the research project should be summarized (**no more than 40 words, comprehensible to non-specialist readers**).